

Avery Goldstein

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University of Pennsylvania  
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## **Education**

Ph.D. University of California, Berkeley, 1985  
(Political Science)  
M.A. University of California, Berkeley, 1978  
(Political Science)  
M.S. University of Pennsylvania, 1976  
(Secondary Education)  
B.A. University of Pennsylvania, 1975  
(Political Science)

## **Employment**

David M. Knott Professor of Global Politics and International Relations  
Department of Political Science, University of Pennsylvania, 2009-

Director  
Center for the Study of Contemporary China, University of Pennsylvania,  
2012-

Professor  
Department of Political Science, University of Pennsylvania, 2000-  
Chair, Political Science Department, 2006-2009  
Chair, Political Science Graduate Program, 1995-1997; 2001-2003  
Chair, Political Science Undergraduate Program, 1991-1993

Associate Professor  
Department of Political Science, University of Pennsylvania, 1991-2000

Assistant Professor  
Department of Political Science, University of Pennsylvania, 1985-1991

Associate Director  
Christopher H. Browne Center for International Politics  
University of Pennsylvania, 2002-

Director

Asia Program, Foreign Policy Research Institute  
Philadelphia, Pa., 1997-2002

Director

Christopher H. Browne Center for International Politics  
University of Pennsylvania, 1997-1999

Social Studies Teacher

Tilden Middle School Philadelphia Public School District, 1976-1977

**Areas of Specialization**

International Relations Theory  
Strategic/Security Studies  
Chinese Politics

**Courses Taught**

International Security  
Chinese Politics  
Seminar in Strategic Studies  
International Relations Theory  
Seminar in Chinese Politics

**Published Work**

**Books**

*The Nexus of Economics, Security, and International Relations in East Asia* (ed.,  
with Edward D. Mansfield), (Stanford University Press, forthcoming)

*Rising to the Challenge: China's Grand Strategy and International Security*  
(Stanford University Press, 2005).

*Zhongguo Dazhanlue yu Guoji Anquan*. Wang Jun and Lin Minwang trans.,  
(Beijing: Shehui Kexue Wenxian Chubanshe, 2008). Chinese translation  
of *Rising to the Challenge* published by Social Sciences Academic Press,  
Beijing China.

*Deterrence and Security in the 21<sup>st</sup> Century: China, Britain, France and the  
Enduring Legacy of the Nuclear Revolution* (Stanford University Press,  
2000)

*From Bandwagon to Balance-of-Power Politics: Structural Constraints and  
Politics in China, 1949-1978*. (Stanford University Press, 1991).

## Book Chapters

- “The Political Economy of Regional Security in East Asia,” (with Edward D. Mansfield), Avery Goldstein and Edward D. Mansfield, eds., *The Nexus of Economics, Security, and International Relations in East Asia* (Stanford University Press, forthcoming)
- “Parsing China’s Rise: International Circumstances and National Attributes,” Robert S. Ross and Zhu Feng, eds., *China's Ascent: Power, Security, and the Future of International Politics* (Ithaca: Cornell University Press, 2008), pp. 55-86.
- “Zhongguo de Jueqi ji Guoji Shehui de Fanying: Guoji Huanjing he Minzu Texing,” Zhu Feng yu Luobaite Luosi, zhubian, *Zhongguo Jueqi: Lilun yu Zhengce de Shijiao* (Shanghai: Renmin Chubanshe, 2008), pp. 67-108. Translation of “Parsing China’s Rise.”
- “Power Transitions, Institutions, and China’s Rise in East Asia: Theoretical Expectations and Evidence,” G. John Ikenberry and Chung-In Moon, eds., *The United States and Northeast Asia: Debates, Issues, and New Order* (New York: Rowman and Littlefield, 2008), pp. 39-78; different version of article in *Journal of Strategic Studies* (August-October 2007).
- “Across the Yalu: China's Interests and the Korean Peninsula in a Changing World,” in Alastair Iain Johnston and Robert S. Ross, eds., *New Directions in the Study of China’s Foreign Policy* (Stanford: Stanford University Press, 2006), pp. 131-161.
- “An Emerging China's Emerging Grand Strategy: A Neo-Bismarckian Turn?” in G. John Ikenberry and Michael Mastanduno, eds., *International Relations Theory and the Asia-Pacific* (New York: Columbia University Press, 2003), pp. 57-106.
- “Balance-of-Power Politics: Consequences for Asian Security Order,” in Muthiah Alagappa, ed., *Asian Security Order: Instrumental and Normative Features* (Stanford: Stanford University Press, 2003), pp.171-209.
- “The Future of U.S.-China Relations and the Korean Peninsula,” in Byung Chul Koh, ed., *The Korean Peninsula in Transition: The Summit and Its Aftermath*, (Seoul, Korea: Kyungnam University Press, 2002), pp. 192-209; reprinted in *Asian Perspective*, Vol. 26, No. 3 (2002) pp. 111-129.
- “Structural Realism and China’s Foreign Policy: Much (but never all) of the Story,” in Andrew Hanami, ed., *Perspectives on Structural Realism* (New York: Palgrave Macmillan, 2003), pp. 119-154.

## Journal Articles

- “When Fighting Ends: Peace & Prosperity in East Asia,” with Edward D. Mansfield, Cover Story, *Global Asia* Vol. 6, No. 2 (Summer 2011), pp. 9-15.  
<[http://www.globalasia.org/V6N2\\_Summer\\_2011/Avery\\_Goldstein&Edward\\_D\\_Mansfield.html](http://www.globalasia.org/V6N2_Summer_2011/Avery_Goldstein&Edward_D_Mansfield.html)>
- “Power Transitions, Institutions, and China’s Rise in East Asia: Theoretical Expectations and Evidence,” *The Journal of Strategic Studies* Vol. 30, No. 4-5 (August–October 2007), pp. 639-682.
- “The Diplomatic Face of China’s Grand Strategy: A Rising Power’s Emerging Choice,” *The China Quarterly*, no. 168 (December 2001), pp. 835-864.
- “U.S. China Policy: Vexing Challenges and Choices,” *Education About Asia*, 6:2 (Fall 2001), pp. 8-11.
- “The Political Implications of a Slowdown,” *Orbis* 43:2 (Spring 1999), pp. 203-221.
- “China in 1997: A Year of Transitions,” *Asian Survey* 38:1 (January 1998), pp. 34-52.
- “Great Expectations: Interpreting China’s Arrival,” *International Security* 22:3 (Winter 1997/98), pp. 36-73. Reprinted as the lead chapter in Michael E. Brown, Owen R. Cote, Jr., Sean M. Lynn-Jones, and Steven E. Miller, *The Rise of China* (Cambridge: Mass: MIT Press, 2000), pp. 3-40.
- “China in 1996: Achievement, Assertiveness, Anxiety,” *Asian Survey* 37:1 (January 1997), pp. 29-42.
- “Discounting the Free Ride: Alliances and Security in the Postwar World.” *International Organization* 49:1 (Winter 1995), pp. 39-71.
- “Trends in the Study of Political Elites and Institutions in the People’s Republic of China: The State of the Field.” *China Quarterly* 139 (September 1994), pp. 714-730.
- “Understanding Nuclear Proliferation: Theoretical Explanation and China’s National Experience.” *Security Studies* 2:3-4 (Spring/Summer 1993). Reprinted in Zachary S. Davis and Benjamin Frankel, eds., *The Proliferation Puzzle: Why Nuclear Weapons Spread (And What Results)* (London: Frank Cass, 1993), pp. 213-255.

“Robust and Affordable Security: Some Lessons from the Second-ranking Powers During the Cold War.” *Journal of Strategic Studies* 15:4 (December 1992), pp. 476-527.

“Explaining Politics in the People’s Republic of China: The Structural Alternative.” *Comparative Politics* 22:3 (April 1990), pp. 301-322.

“The Domain of Inquiry in Political Science: General Lessons from the Study of China.” *Polity* 21:3 (Spring 1989), pp. 517-537.

Review, *The Political Logic of Economic Reform in China*. By Susan L. Shirk, *American Political Science Review* 88:3 (September 1994), pp. 790-791.

Review, *Political Participation in Rural China*. By John Burns, *American Political Science Review* 83:3 (September 1989), pp. 1048-1050.

Review, *Understanding Communist China*. By Tai-chun Kuo and Ramon Myers, *Journal of Asian Studies* 47 (February 1988), pp. 120-122.

### **Other Media**

“Prolonging East Asia’s Surprising Peace—Can It Be Managed?” *Foreign Policy Research Institute, E-Notes* (August 2009); FPRI E-Notes are emailed and faxed globally, posted on various international security websites including H-diplo (diplomatic history server); The Nautilus Institute; Taiwan Security Research; New York University’s Global Beat.

“China’s Grand Strategy and U.S. Foreign Policy,” *Foreign Policy Research Institute, E-Notes* (September 27, 2005).

“Factors Driving China’s Global Strategy and U.S. Policy Responses,” Testimony, Hearing on China’s Growing Global Influence: Objectives and Strategies, *US-China Economic and Security Review Commission*, July 21, 2005, 124 Dirksen Senate Office Building, Washington, DC. ([http://www.uscc.gov/hearings/2005hearings/written\\_testimonies/05\\_07\\_21\\_22wrts/goldstein\\_avery\\_wrts.htm](http://www.uscc.gov/hearings/2005hearings/written_testimonies/05_07_21_22wrts/goldstein_avery_wrts.htm)).

“The North Korean Nuclear Challenge and American Interests: Getting the Priorities Right,” *Foreign Policy Research Institute, E-Notes* (October 31, 2003).

“September 11, the Shanghai Summit, and the Shift in U.S. China Policy,” *Foreign Policy Research Institute, E-Notes* (November 2001).

“U.S. Policies Toward Asia,” *Foreign Policy Research Institute, E-Notes* (April

2001).

“U.S. Policy Toward China: Try For The Best, Prepare For The Worst,” *Foreign Policy Research Institute, E-Notes* (April 2001).

“Why Nukes Still Trump: Deterrence And Security In The 21st Century,” *Foreign Policy Research Institute, E-Notes* (November, 2000).

“Fallout from the Summit? Challenging Consequences of Korean Détente,” *Foreign Policy Research Institute, E-Notes* (June, 2000).

“The Hong Kong Experiment: A Peek into the Laboratory,” *Foreign Policy Research Institute, E-Notes* (January 2000).

“The Taiwan Relations Act: Durable Agreement or Fraying Framework?” *Foreign Policy Research Institute, E-Notes* (Fall 1999)

“How to Deal With Beijing,” *Foreign Policy Research Institute, E-Notes* (March 1, 1999);

“The Year of Living Denglessly: China Under Jiang,” *Foreign Policy Research Institute, E-Notes* (June 11, 1998).

“Scared Senseless: The South Asian Nuclear Tests,” *Foreign Policy Research Institute, E-Notes* (June 5, 1998)

“Second Thoughts About China's Military Power,” *Foreign Policy Research Institute, Wire*, 6:4 (April 1998).

“Great Expectations: Interpreting China’s Arrival,” Christopher H. Browne Center for International Politics, Working Paper Series, 96-2, pp. 1-54 (available on-line through Columbia International Affairs Online).

“New Policy on China: Usefully Incoherent.” *Christian Science Monitor* (February 19, 1992). (p. 18).

### **Selected Papers and Presentations**

Presentation, Conference on the New Context of U.S.-China Relations, Elliott School of International Affairs, George Washington University, Washington D.C., papers prepared for edited volume, *Tangled Titans*. Title: “U.S.-China Interactions in Asia.”

Presentation, Conference on China’s Global Behavior Under the Fifth Generation, Bureau of Intelligence and Research U.S. Department of State and the

National Intelligence Council, Airlie House, Warrenton, VA, November 8, 2011.

Title: "China's Growing Global Presence: Challenges and Choices."

Presentation,

Judith Reppy Institute for Peace and Conflict Studies, Einaudi Center for International Studies, Cornell University, Ithaca, NY, September 22, 2011.

Institute for Security & Conflict Studies at the Elliott School of International Affairs, George Washington University, Washington, DC, September 26, 2011.

Title: "First Things First: The Present Dangers of US-China Crises."

Presentation, Annual Meeting of the American Political Science Association, Seattle, WA, September 1-4, 2011.

Title: "Crisis Instability and US-China Relations: The Present (If Not Clear) Danger."

Presentation, "SIS Perspectives", School of International Studies Distinguished Speaker Series, Peking University, Beijing, China, May 27, 2011.

Title: "Tough Times in US-China Relations: Small Detour (绕行) or Turning Point (转折点)?"

Presentation, Conference on China's Foreign Policy: Who Makes it, and How is it Made? The Asan Institute for Policy Studies, Seoul, Korea, May 19-20, 2011.

Title: "China's Foreign Policy and the Leadership Transition: Prospects for Change under the 'Fifth Generation'."

Presentation, Conference on Signaling and Perceptions: Theoretical Debates and Historical Perspectives, Woodrow Wilson School of Public and International Affairs, Princeton University, Center for International Security Studies, April 28-29, 2011.

Title: "First Things First: The Present Dangers of US-China Crises."

Presentation, Conference on Sea Change-- Conflicting Claims, Disparate Agendas and U.S.-China Maritime Security Relations, Reserve Officers Association, Washington DC: May 13, 2010.

Title: "Implications of China's Rising Maritime Power."

Presentation, Workshop on Grand Strategy and the New World Order, The Robert Schuman Center for Advanced Studies and the EUI Transatlantic Program, European University Institute, Florence, Italy: November 13, 2009.

Title: "China's Grand Strategy: Origins, Content, Implications."

- Presentation, Faculty Colloquium, Center for American Studies, Fudan University, Shanghai, China: September 15, 2009.  
Title: "Why Americans Worry About China's Rise?"
- Interview, *Radio Times*, WHYY (NPR) Radio, April 19, 2006, 10-11am, Philadelphia PA.  
Title: "China and the US on the eve of President Hu's Visit."
- Testimony, Hearing on China's Growing Global Influence: Objectives and Strategies, US-China Economic and Security Review Commission, July 21, 2005, 124 Dirksen Senate Office Building, Washington, DC.  
Title: "Factors Driving China's Global Strategy and U.S. Policy Responses."  
([http://www.uscc.gov/hearings/2005hearings/written\\_testimonies/05\\_07\\_21\\_22wrts/goldstein\\_avery\\_wrts.htm](http://www.uscc.gov/hearings/2005hearings/written_testimonies/05_07_21_22wrts/goldstein_avery_wrts.htm)).
- Presentation, Institute for International Strategic Studies, Central Party School of the Chinese Communist Party, Beijing, China, June 24, 2005.  
Title: "Changes in the Bush Administration's China Policy and New Developments in Sino-American Relations."
- Presentation, Institute for the Study of World Economics and Politics, Chinese Academy of Social Sciences, Beijing, China, June 16, 2005.  
Title: "Western International Relations Theory and Debates about China's Rise."
- Presentation: Dialogue Series-- US-China Nuclear Relations, Project on American Security Strategy at the Center for American Studies and Department of International Politics, Fudan University, Shanghai, China, December 30, 2004.  
Title: "US and Chinese Nuclear Strategy- What's Feasible? What's Desirable? What's Likely?"
- Presentation, Taming the Crouching Tiger: Is US-China Strategic Cooperation Possible in the Absence of Mutual Trust?  
Woodrow Wilson International Center for Scholars, Washington, D.C., June 5, 2002;  
Capital Breakfast Seminar (for invited members of the United States Congress and their staff), Rayburn House Office Building, June 6, 2002.  
Title: "Conflict and Cooperation in US-China Relations."
- Presentation, Board of Overseers Dinner, School of Arts and Sciences, University of Pennsylvania, Philadelphia, PA: March 9, 1997.  
Title: "China at the Dawn of the Post-Deng Xiaoping Era."



Interview, "The Death of Deng Xiaoping," WPVI TV, Philadelphia, PA, February 20, 23, 1997.

Presentation, "Security and Deterrence in a Changing World."

In Beijing, China, April-May 1991:

Institute of American Studies, Chinese Academy of Social  
Sciences  
National Defense University  
Academy of Military Sciences  
Beijing Institute for International Strategic Studies  
China Institute of International Studies  
China Institute of Contemporary International Relations  
China World Watch Institute

### **Professional, Departmental, and University Service**

Chair, Political Science Department, 2006-2009  
Chair, Political Science Graduate Program, 1995-1997; 2001-2003  
Chair, Political Science Undergraduate Program, 1991-1993  
Member, Executive Committee, Center for East Asian Studies, 2008-2010  
Member, Graduate Group in East Asian Languages and Civilizations, 2003-  
Member, School of Arts and Sciences Personnel Committee, 2002-2004  
Member, Planning and Priorities Committee, School of Arts and Sciences, 2004-  
2007  
Research Associate, Center for US-China Policy Studies, San Francisco, CA,  
2006-  
Senior Fellow, Foreign Policy Research Institute, Philadelphia, PA, 2002-  
International Board Member, Studies in Asian Security, Book series published by  
Stanford University Press  
Editorial Board Member, *Journal of Contemporary China*, *Asian Security*, *Orbis*,  
*Journal of Chinese Political Science*  
Reviewer for the following: Cornell University Press, *International Security*,  
*International Organization*, *World Politics*, *International Studies*  
*Quarterly*, *China Quarterly*, *Security Studies*, *Comparative Political*  
*Studies*, *Asian Survey*, *International Relations of the Asia-Pacific*, *Journal*  
*of Contemporary China*, *Stanford University Press*.  
Member, Mellon and School of Arts and Sciences Dissertation Fellowships  
Committee, 1991-1993  
Chairman, Committee of Examiners for the Graduate Record Examination  
Subject Test in Political Science, Educational Testing Service, 1992-1996  
(Committee Member, 1988-96)  
Member, Graduate Group in International Studies, 1988-  
Member, Political Science Graduate Executive Committee, 1987-1990  
Member, Provost's Committee on Contacts with the People's Republic of China,

1986-  
Member, University East Asian Studies Committee, 1985-  
Adviser, Undergraduate Political Science Majors concentrating on International  
Politics, 1985-  
Member, Political Science Undergraduate Executive Committee, 1985-1987  
Member, Political Science Personnel Committee, 1985-1986, 1990-1991, 1995-  
1996, 1996-1998  
Member, Political Science Lauder Chair Search Committee, 1992-1993, 1997-98  
Member, International Relations Graduate Group, 1985-1986  
Rapporteur, Conference on the State of Exchanges Between the People's Republic  
of China and the United States, East-West Center, Honolulu, Hawaii,  
February, 1985.

### **Fellowships and Awards**

Christian R. and Mary F. Lindback Award for Distinguished Teaching, University  
of Pennsylvania, April 2008.  
Smith Richardson Foundation Grant, International Security and Foreign Policy  
Program (1999-2000), Book Project: "China's Emerging Grand Strategy."  
University Research Foundation Grant, University of Pennsylvania (1989, 1998)  
National Resource Center, U.S. Department of Education Faculty Research Grant,  
Center for East Asian Studies, University of Pennsylvania (1998)  
Faculty Research Grant, University of Pennsylvania (Summer 1986)  
Peter Odegard Memorial Prize to the Outstanding Graduate Student in Political  
Science (1982)  
Foreign Languages and Area Studies Fellowship, Chinese (1983-1984; 1982-83;  
1980-81)  
Chancellor's Fellowship, University of California, Berkeley (1982-1983)  
Phi Beta Kappa (1976)  
Graduated with B.A. Summa Cum Laude (1975)

### **Language Ability**

Chinese (Mandarin): reading, speaking

Participant in the Advanced Chinese Language Training Program at Peking  
Normal University, Beijing, People's Republic of China (1981).